

PATENT

(Practitioner's Docket No. IN-5531) #6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Maxime Euring ALLARD et al.

Serial No.: This application is a National
Phase of Patent Application
PCT/EP00/03267 filed 12. April 2000.

Group Art Unit: Not Assigned

Filed: October 25, 2001

Examiner: Not Assigned

For: HIGHLY SCRATCH-RESISTANT MULTICOAT SYSTEM ITS PREPARATION
AND USE

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

- ☒ Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

COPIES PROVIDED:

- ☒ Legible copies of all items listed on Form PTO-1449 accompany this information statement, except as indicated below:
- ☐ Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. [____], filed on [____]. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:
- ☐ In accordance with 37 C.F.R. §1.98(c), a copy of only [____] is being submitted with this Information Disclosure Statement, and is cumulative of the following patents or publications listed on Form PTO-1449:

FOREIGN LANGUAGE DOCUMENTS

- ☐ There are no foreign language documents listed on Form PTO-1449.

Information Disclosure Statement

Page 2

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Submitted herewith is an English translation of the following foreign language patents, publications or information or of portions of those patents, publications or information considered to be material: English Abstracts for: **EP 0 604 992 A1; WO 94/22968; WO 91/13923; EP0436941; WO 99/50359; DE 43 03 570 A1; DE 40 11 045 A1; DE 38 28 098 A1; DE 40 20 316 A1; DE 41 22 743 C1; DE 43 28 092 A1; DE 39 03 804 A1; EP 0 708 788;**

☐

No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.

☒

The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:

5,368,944 English for	EP 0 574 417 B1;
5,569,705 English for	EP 0 669 356 A1;
5,425,970 English for	EP 0 568 967 A2;
4,945,128 English for	EP 0 234 361 A1;
5,965,213 English for	DE 196 13 547;
5,075,372 and 4,945,128 English for	EP 0 234 361 A1;
5,601,878 English for	DE 42 22 194 A1;
4,489,135 English for	DE 32 10 051 A1;
4,229,679 English for	DE 28 18 100 A1;
4,851,460 English for	DE 36 28 124 A1;
CA 2,102,170 English for	EP 0 596 461 A2;
CA 2,073,115 English for	EP 0 596 460 A2;
5,236,995 English for	EP 531 510;
4,558,090 and 4,489,135 English for	EP 0 089 497 A2;
5,418,264 English for	EP 0 523 610 A1;
5,512,322 English for	WO 90/01041;
CA 2,102,169 English for	EP 0 522 420 A2;
5,601,878 English for	DE 42 22 194 A1;
6,001,424 English for	WO 95/14721;
6,001,915 English for	WO 96/12747;
5,658,617 English for	EO 0 634 431 A1;
5,654,391 and 5,569,705 English for	EP 0 678 536 A1;
*5,486,384 English for	EP 0 540 884 A1;
5,425,970 English for	EP 0 568 967 A2;
5,075,372 and 4,945,128 English for	EP 0 234 362 A1;
4,945,128 and 4,914,148 English for	EP 0 228 003 A1;
4,880,867 English for	EP 0 256 540 A2;
5,342,882 English for	EP 0 581 211 A1;
5,601,880 English for	EP 0 593 454 B1;
4,851,460 English for	EP 0 260 447 A2;
5,552,496 English for	EP 0 584 818 B1;
5,691,425 English for	EP 0 522 419 A1;
5,623,016 and 5,571,861 English for	EP 0 649 865 A1;
5,416,136 English for	EP 0 536 712 A2;
5,334,420 English for	EP 0 521 928 B1;
CA 2102169 English for	EP 0 522 420 A2;
CA 596460 English for	EP 0 596 460 A2;
CA 2102170 English for	EP 0 596 461 A2;
5,418,264 English for	EP 0 523 610 A1;
5,569,705 English for	EP 0669 356
4,558,090 and 4,489,135 English for	EP 0 089 497 A2;
5, 512,322 and 5,370,910 English for	WO90/01041 and EP 397 806;
5,691,419 and 5,686,531 English for	WO 92/22615;
5,716,678 English for	WO 94/22969;

☒ Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.

☐ A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:

☒ A concise explanation of the relevance of the following listed non-English language information is set forth in the above-identified specification at the following pages and line numbers: "Methoden der organischen chemie", Houben-Weyl, Volum 14/2, 4th Edition, Georg Thieme Verlag, Stuttgart, 1963, pages 61 to 70, by W. Siefken, Liebigs Annalen der Chemie, Volume 562, pages 75 to 136. @ page 42, LL.9-13; B. Singh and coworkers, "Carbamylmethylated Melamines, Novel Crosslinkers for the Coatings Industry" in Advanced Organic Coating Science and Technology Series, 1991, Vol. 13, pages 193 to 207 @ page 47, LL. 11-14; DE 38 28 098 @ page 5, LL. 4; DE 40 11 045 A1 @ page 5, LL. 3; EP 0 574 417 B1 @ page 54, LL. 16; DE 43 28 092 A1 @ page 54, LL. 17; DE 43 03 570 A1 @ page 5, LL. 3; DE 41 22 743 C1 @ page 5, LL. 4; EP 0 297 576 A1 @ page 5, LL. 14; DE 34 07 087 A1 @ page 5, LL. 3, DE 195 18 392 @ page 3, LL. 3; DE 34 07 087 A1 @ page 5, LL.3.

CERTIFICATION:

☒ The Information Disclosure Statement transmitted herewith is being filed **within** three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.

☐ The Information Disclosure Statement transmitted herewith is being filed **after** three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:

☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**

☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **OR**

☐ Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the **\$230.00** IDS submission fee to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

☐ The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:

☐ Each statement of information contained in the Information Disclosure Statement was

cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1);
OR

☐

That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **AND**

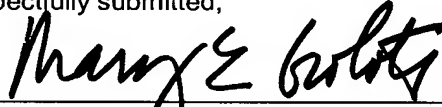
Information Disclosure Statement
Page 4

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Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of **\$130.00** to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

The Commissioner is authorized to charge BASF Corporation Deposit Account No. 23-3425 for any additional fees due hereunder.

Respectfully submitted,



Mary E. Golota, Reg No. 36,814
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Southfield, Michigan 48034-2442
Tel. No.: (248) 948-2020

Customer No. 26922

FORM PTO-1449
(MODIFIED)US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. docket No. IN-5531

LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

In re Application of MAXIME et al.

Serial No.: Herewith

Filed: October 25, 2001

U.S. PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,474,811	12/12/95	Rehfuss et al.	427	407.1	5/11/94
	AB	5,356,669	10/18/94	Rehfuss et al.	427	4307.1	10/23/92
	AC	5,605,965	2/25/97	Rehfuss et al.	525	100	11/3/94
	AD	4,268,542	5/19/81	Sakakibara et al.	427	195	1/25/79
	AE	4,675,234	6/23/87	Sachs et al.	428	328	5/17/85
	AF	5,425,970	6/20/95	Lahrman et al.	427	493	5/6/93
	AG	5,691,419	11/25/97	Engelke et al.	525	208	5/19/92
	AH	5,236,995	8/17/93	Salatin et al.	524	591	3/26/91
	AI	5,686,531	11/11/97	Engelke et al.	525	111	5/24/95
	AJ	6,001,915	12/14/99	Schwarte et al.	524	457	10/18/95
	AK	5,658,617	8/19/97	Göbel et al.	427	372.2	2/5/96

FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	0 594 071 A1 ✓	15.10.93	EP	C09D	201/02	X	
	AM	0 594 142 A1 ✓	20.10.93	EP	C08L	57/12	X	
	AN	0 604 992 A1 ✓	23.12.93	EP	C08K	5/3492	X	
	AO	WO 94/22969 ✓	13.10.94	EP	C09D	133/06		X
	AP	WO99/50359 ✓	07.10.99	EP	C09D	5/00		X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	English Language Abstract for WO94/22969 provided with International Publication
	AS	English Language Abstract for EP 0 596 460 A2
	AT	English Language Abstract for WO99/50359 provided with International Publication

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant has modified this form by deleting the word "prior".

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	AA	4,558,090	12/10/85	Drexler et al.	524	591	10/2/84
	AB	4,489,135	12/18/84	Drexler et al.	428	423.1	2/18/83
	AC	5,965,213	10/12/99	Sacharski et al.	427	475	4/4/96
	AD	5,691,425	11/25/97	Klein et al.	525	455	7/2/92
	AE	5,569,705	10/29/96	V0gt-Birnbrich et al.	524	591	2/27/95
	AF	5,571,861	11/5/96	Klein et al.	524	591	10/21/94
	AG	5,416,136	5/16/95	Konzmann et al.	523	414	4/29/94
	AH	5,334,420	8/2/94	Hartung et al.	427	407.1	2/14/91
	AI	5,075,372	12/24/91	Hille et al.	524	839	2/6/87
	AJ	4,945,128	7/31/90	Hille et al.	524	591	2/6/87
	AK	4,880,867	11/14/89	Gobel et al.	524	507	8/18/87

FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	WO92/22615 ✓	23.12.92	EP	C09D	151/08		X
	AM	0 089 497 A2 ✓	21.02.83	EP	C09D	3/72		X
	AN	0 844 286 A1 ✓	23.12.97	EP	C09D	5/03	X	
	AO	0 540 884 A1 ✓	03.10.92	EP	B05D	3/06		X
	AP	196 13 547 ✓	07.11.96	DE	C09D	5/46		X

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	AA	5,370,910	12/6/94	Hill et al.	427	407.1	7/24/89
	AB	6,001,424	12/14/99	Lettmann et al.	427	407.1	11/18/94
	AC	5,601,880	2/11/97	Schewarte et al.	427	407.1	8/5/94
	AD	4,489,135	12/18/84	Drexler et al.	428	423.1	2/18/83
	AE	4,939,213	7/3/90	Jacobs, III et al.	525	329.9	12/19/88
	AF	5,084,541	1/28/92	Jacobs, III et al.	528	45	5/14/90
	AG	4,710,542	12/1/87	Forgione et al.	525	127	5/16/86
	AH	4,444,954	04/24/84	Mels et al.	525	124	9/30/82
	AI	5,342,882	8/30/94	Göbel et al	524	832	7/22/93
	AJ	5,512,322	4/30/96	Hille et al.	427	407.1	11/4/94
	AK	5,654,391	8/5/97	Göbel et al	525	123	4/20/95

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							YES	NO
	AL	40 11 045 A1 ✓	10.10.91	DE	B05D	7/02		X
	AM	43 03 570 A1 ✓	11.08.94	DE	B05D	7/26		X
	AN	34 07 087 A1 ✓	05.09.85	DE	C09D	3/82		X
	AO	42 22 194 ✓	13.01.94	DE	C09D	5/46		X
	AP	WO90/01041 ✓	08.02.90	EP	C08G	18/08		X

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	AA	5,601,878	2/11/97	Kranig et al.	427	386	6/23/93
	AB	5,623,016	4/22/97	Klein et al.	524	591	6/1/95
	AC	5,552,496	9/3/96	Vogt-Birnbrich et al.	525	440	2/27/95
	AD	4,514,460	4/30/85	Johnson	428	245	7/11/83
	AE	5,716,678	2/10/98	Röckrath et al.	427	407.1	3/26/94
	AF	5,418,264	5/23/95	Obloh et al.	523	414	3/3/94
	AG	4,229,679	10/21/80	Lode	315	111.7	6/5/74
	AH	4,851,460	7/25/89	Stranghöner et al.	523	407	8/18/87
	AI	5,368,944	11/29/94	Hartung et al.	428	423.1	2/1/92
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	AK						

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	AL	WO94/10211 /	11.05.94	EP	C08F	8/30	X	
	AM	WO94/10212 /	11.05.94	EP	C08F	8/30	X	
	AN	WO94/10213 /	11.05.94	EP	C08F	8/30	X	
	AO	0 594 068 /	15.10.93	EP	C09D	201/02	X	
	AP	0 581 211 A1 /	23.07.93	EP	C08G	18/08		X

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Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	38 28 098 A1	08.03.90	DE	C08G	77/58		X
	AM	40 20 316 A1	09.01.92	DE	C09D	183/04		X
	AN	41 22 743 C1 ✓	26.11.92	DE	C09D	4/00		X
	AO	0 568 967 A2 ✓	04.05.93	EP	B05D	3/00		X
	AP	WO93/17060 ✓	02.09.93	EP	C08G	63/02	X	

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Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AL	WO96/12754	02.05.96	EP	C08G	63/70	X	
	AM	0 401 565 A1 ✓	16.05.90	EP	C09D	5/02	X	
	AN	0 522 419 A1 ✓	30.06.92	EP	C08G	18/67		X
	AO	0 649 865 A1 ✓	15.10.94	EP	C08G	18/67		X
	AP	0 536 712 A2 ✓	07.10.92	EP	C09D	201/02		X

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	AA						
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	AJ						
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FOREIGN PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AL	0 521 928 B1 ✓	13.03.91	EP	C08F	299/06		X
	AM	0 522 420 A2 ✓	30.06.92	EP	C08J	3/03		X
	AN	2102170 ✓	94.05.07	CA	C09D	175/14	X	
	AO	0 234 362 A1 ✓	06.02.87	EP	C08G	18/08		X
	AP	0 596 461 A2 ✓	03.11.93	EP	C09D	201/00		X

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10/0093
25 OCT 2001FORM PTO-1449
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Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AL	2102169	94.05.07	CA	C09D	175/14	X	
	AM	0 256 540 A2	15.08.87	EP	C09D	3/49		X
	AN	0 584 818 B1	26.08.93	EP	C08G	18/08		X
	AO	0 260 447 A2	15.08.87	EP	C08F	283/10		X
	AP	0 593 454 B1	09.03.91	EP	B05D	7/26		X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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FORM PTO-1449
(MODIFIED)US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. docket No. IN-5531

10/009394
1013 Dec'd PCT/PTO 25 OCT 2001LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

In re Application of MAXIME et al.

Serial No.: Herewith

Filed: October 25, 2001

U.S. PATENT DOCUMENTS

Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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FOREIGN PATENT DOCUMENTS

Examiner Initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	WO95/14721 ✓	01.06.95	EP	C08F	290/14		X
	AM	0 596 460 A2 ✓	03.11.93	EP	C09D	201/00		X
	AN	0 234 361 A1 ✓	06.02.87	EP	C08G	18/08		X
	AO	0 228 003 A1 ✓	11.12.86	EP	B05D	7/16		X
	AP	WO96/12747 ✓	02.05.96	EP	C08F	285/00		X

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							YES	NO
	AL	WO97/49747 ✓	31.12.97	EP	C08G	18/75	X	
	AM	WO97/49745 ✓	31.12.97	EP	C08G	18/08	X	
	AN	0 354 261 A1 ✓	11.08.88	EP	C08G	18/50	X	
	AO	0 424 705 A2 ✓	04.10.90	EP	C08F	283/00	X	
	AP	0 299 148 A2 ✓	13.04.88	EP	C08G	18/08	X	

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	AL	0 394 737 A1 ✓	09.04.90	EP	C09D	175/06	X	
	AM	0 590 484 A1 ✓	22.09.93	EP	C08G	18/08	X	
	AN	0 634 431 A1	13.07.94	EP	C08G	18/12		X
	AO	0 678 536 A1	21.04.95	EP	C08G	18/08		X
	AP	0 708 788 B1 ✓	16.06.94	EP	C08G	18/08		X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	US Patent Application No. 08/564,304, Docket No. IN-5057, Filed January 22, 1996
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							YES	NO
	AL	0 245 700 A2 ✓	29.04.87	EP	C07D	251/54	X	
	AM	0 624 577 A1 ✓	13.05.94	EP	C07D	251/70	X	
	AN	0 574 417 B1 ✓	01.02.92	EP	B05D	5/06		X
	AO	43 28 092 A1 ✓	23.02.95	DE	C09D	175/04		X
	AP	0 669 356 A1 ✓	22.02.95	EP	C08G	18/83		X

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	AL	EP 0 523 610 A1	14.07.92	EP	C09D	163/00		X
	AM	2,073,115	92.07.03	CA	C08L	075/14	X	
	AN	0 297 576 A1	30.06.88	EP	C09D	3/72		X
	AO							
	AP							

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	AR	"Methoden der organischen chemie", Houben-Weyl, Volum 14/2, 4th Edition, Georg Thieme Verlag, Stuttgart, 1963, pages 61 to 70, by W. Siefken, Liebigs Annalen der Chemie, Volume 562, pages 75 to 136. ✓
	AS	Singh and coworkers, "Carbamylmethylated Melamines, Novel Crosslinkers for the Coatings Industry" in Advanced Organic Coating Science and Technology Series, 1991, Vol. 13, pages 193 to 207 @ page 47, LL. 11-14; ✓
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